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PATENT

Customer No. 22,852

Attorney Docket No. 7451.0011-01

InterTrust Ref. No.: IT-17

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Talal G. SHAMOON, et al. ) Group Art Unit: 2766  
Application No.: 09/276,233 ) Examiner: Not Yet Assigned  
Filed: March 25, 1999 )  
For: METHODS AND APPARATUS )  
FOR PERSISTENT CONTROL )  
AND PROTECTION OF CONTENT )

Commissioner for Patents and Trademarks  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 19, 2001

By: 

Karna J. Nisewaner  
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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0011-01000	DEC 20 2001 U.S. PATENT & TRADEMARK OFFICE	Serial No.	09/276,233
Applicant	Talal G. SHAMOON, et al.			
Filing Date	March 25, 1999	Group: 2766		

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,163,091	11/92	Graziano et al.			
	5,187,787	02/93	Skeen et al.			
	5,257,369	10/93	Skeen et al.			
	5,388,211	02/95	Hornbuckle			
	5,432,950	07/95	Sibigroth			
	5,557,798	09/96	Skeen et al.			
	5,636,276	06/97	Brugger			
	5,717,923	02/98	Dedrick			
	5,724,425	03/98	Chang et al.			
	5,745,569	04/98	Moskowitz et al.			
	5,819,263	10/98	Bromley et al.			
	5,842,173	11/98	Strum et al.			
	5,892,900	04/99	Ginter et al.			
	5,896,454	04/99	Cookson et al.			
	5,910,987	06/99	Ginter et al.			
	5,915,019	06/99	Ginter et al.			
	5,917,912	06/99	Ginter et al.			
	5,920,861	07/99	Hall et al.			
	5,940,504	08/99	Griswold			
	5,940,505	08/99	Kanamaru			
	5,943,422	08/99	Van Wie et al.			
	5,949,876	09/99	Ginter et al.			
	5,982,891	11/99	Ginter et al.			
	6,009,170	12/99	Sako et al.			
	5,999,949	12/99	Crandall			
	6,112,181	08/00	Shear et al.			
	6,138,119	10/00	Hall et al.			

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INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No. 07451.0011-01000		Serial No. 09/276,233
Applicant Talal G. SHAMOON, et al.		
Filing Date March 25, 1999	Group: 2766	

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,157,721	12/00	Shear et al.			
	6,185,683	02/01	Ginter et al.			<b>RECEIVED</b> <b>DEC 26 2001</b> <b>Technology Center 2100</b>
	6,237,786	05/01	Ginter et al.			
	6,240,185	05/01	Van Wie et al.			
	6,253,193	06/01	Ginter et al.			
	6,292,569	09/01	Shear et al.			
FOREIGN PATENT DOCUMENTS						
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	06-225059	08/94	Japan			Abstract only
	EP 0 398 645	11/90	EPO			
	EP 0 570 123	11/93	EPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Frederick B. Cohen, <u>Operating System Protection Through Program Evolution</u> , 8246 Computers & Security, No. 6, (Oxford, Great Britain) October 1993, pp. 565-584.					
	Olin Sibert et al., <u>DigiBox: A Self-Protecting Container for Information Commerce</u> , Proceedings of the First USENIX Workshop on Electronic Commerce, New York, NY, July 1995, 9 pages.					
	Olin Sibert et al., <u>Securing the Content, Not the Wire, for Information Commerce</u> , InterTrust Technologies Corporation, 1996, 12 pages.					
Examiner			Date Considered			
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>						

Form PTO 1449

Patent and Trademark Office - U.S. Department of Commerce